



2020



CIBP-F Foundation Course

Certified Investment Banking Professional - Foundation course (CIBP-F)

CIBP certification will help you in enhancing your knowledge about working of and services provided by investment banks in the financial market.

The certification will provide you with an insight in end-to-end financial market operations along-with financial market participants, market products, regulators and regulations governing them.

Get certified! Stay ahead of the competition!

Certified MVLCO offers two full days foundation program on CIBP extensively focused on role of Investment Banking in financial market. The course covers fundamentals of investment banking including asset and liability products as well as capital markets.

The CIBP-F program emphasizes on active participation from delegates and includes exercises and case studies.

On completion of the CIBP-F programs, you will have understanding of:

- Role of investment banks
- The intricacies and jargons in investment banking
- Financial markets products
- Market processes
- Clearing and settlement mechanism
- Security life cycle

Evaluation test will be conducted by MVLCO at the end of the program and passing certificate would be provided.



All our training programs are also available in-house. To arrange an in-house program please contact us on:

+91-9764835350
or email on:
info@mvlco.com



Certification training is available in
(a) **live classroom** mode
(b) **live virtual classroom** mode
(c) **recorded classroom** mode.

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Course contents

Investment Banking

- History of investment banking
- Services provided by investment banks
- Players in investment banking
- Investment banks vs. commercial banks

Concept of financial markets

- Brief introduction to financial markets including capital markets
- Role of financial markets

Market concepts

- Direct search vs. brokered vs. dealer vs. auction market
- Primary market vs. secondary market
- Third market and fourth market
- Exchange traded vs. OTC market
- Order driven market vs. quote driven markets
- Domestic, Forex and Derivatives market

Entities in financial market

- Issuers
- Investors
- Intermediaries
- Infrastructure providers
- Regulators

Market Terminologies

- Trading book and mark-to-market
- Margin accounts and margin calls
- Settlement mechanisms
- Unscheduled holiday

Money Market

- Instruments
 - Money market deposit
 - Certificates of deposits
 - Repurchase agreements
 - Treasury bills
 - Bankers Acceptances
 - Commercial papers
 - Federal funds
 - Brokers calls
- Money market primary issue: Auctions, syndicate, on-tap issue and private placements
- Secondary market and OTC transactions

Bond Market Instruments

- Attributes of bonds
- Bond basics: Interest, YTM, duration, modified duration, benchmarks, day count convention
- Instruments
 - Treasury notes
 - Government bonds / GSECS
 - Municipal bonds
 - International bonds
 - Corporate bonds
 - Mortgage / loan backed securities
 - Collateralized debt / loan / bond obligation
 - Floaters / Reverse floaters
- Bond ratings
- Transactions in primary, secondary and OTC market

Equity market

- Common stock
- Preferred stock

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Course contents (contd.)

- ADR / GDR
- Corporate actions
- **Entities in primary market**
 - Regulators
 - Issuers
 - Merchant bankers
 - Lead managers
 - Underwriters
 - Bankers to the issue
 - Registrars and share transfer agents
 - Custodians, depositories & depository participants
- **Primary market issues**
 - Public issue: IPO, FPO
 - Rights issue
 - Bonus issue
 - Private placement
 - ESOP
 - Types of offer documents: Draft offer document, Prospectus, Red herring prospectus, Letter of offer, Abridged prospectus
 - Pricing mechanisms: Fixed price issue and Book-building
- **Secondary market and OTC transactions**

Mutual Funds

- Mutual funds entities
- Structure of mutual funds

- **Types of mutual funds**
 - Equity funds
 - Money market funds
 - Debt funds
 - Hybrid funds
 - Fund of funds
 - Arbitrage funds
 - Exchange traded funds
- **Mutual funds terminologies**
 - Net asset value
 - Assets under management
 - Benchmark
 - Expense ratio
 - Turnover ratio

Derivatives

- Characteristics of derivatives
- Need for derivatives
- Classification of Derivatives
 - Forwards
 - Futures
 - Options
 - Moneyness of options
 - Swaps

Security Life Cycle

- Security lifecycle events
- Clearing & settlement
- Institutional delivery trade process overview

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Course contents (contd.)

- **Functions of investment banks**
 - Corporate finance (Equity and debt)
 - Mergers and acquisitions
 - Equity and fixed income sales
 - Equity and fixed income trading
 - Research
 - Syndication
 - Investment and portfolio management
- **Investment Services and Support**
 - Investment Accounting Solutions
 - Cash Management Services
 - Custody Services
 - Depository services
 - Fund Administration Services
 - Hedge Fund Services
 - Information Delivery
 - Investor, Policyholder and Fund Distributor Services
 - Private Equity Services
 - Real Estate Investment Services
- **Investment Operations**
 - Transaction management
 - Derivatives servicing and collateral management
 - Data management
 - Corporate action processing
 - Reconciliation and control
 - Investment recordkeeping
 - Information delivery and end-client reporting
 - Performance and risk analytics
- **Investment Analytics**
 - Portfolio Performance Measurement & Attribution
 - Fund Performance Comparison
 - Investment Portfolio Analysis
 - Risk Analytics
 - Trading Analytics
- **Investment compliance monitoring**
 - Asset allocation, issuer concentration
 - Industry, sector and security investment limits
 - Credit quality & derivatives testing
 - Duration, VaR & other risk characteristics, leverage restrictions
 - Country and regional / state exposures and investment rules
 - Asset mix, tracking error, quality ratings
 - Corporate governance and industry regulations
- **Portfolio performance measurement**