

Register
today!

Investment Banking Program - Advanced

2020



Certified Investment Banking Professional - Advanced course (CIBP-Advanced)

CIBP certification will help you in enhancing your knowledge about working of and services provided by investment banks in the financial market.

The certification will provide you with an insight in end-to-end financial market operations.

Get certified! Stay ahead of the competition!

The two days advanced program on CIBP is extensively focused on role of Investment Banking.

The course covers securities market operations, trade lifecycle, applicable regulations and contract with investment banks.

The CIBP-A program emphasizes on active participation from delegates.

On completion of the CIBP-F programs, you will have understanding of:

- Brief introduction to treasury
- Secondary Market Operations
- National Market System and Regulations
- Trading centers
- Trade Lifecycle
- Regulations and regulatory reporting
- Applicable accounting standards / GAAPs
- Derivatives trading mechanisms

Evaluation test will be conducted by MVLCO at the end of the program and passing certificate would be provided.

All our training programs are also available in-house. To arrange an in-house program please contact us on:

+91-9764835350
or email on:
info@mvlco.com



Certification training is available in
(a) **live classroom** mode
(b) **live virtual classroom** mode
(c) **recorded classroom** mode.

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Course contents

Recalling Foundation level concepts

Brief introduction to treasury

- Front office
- Mid office
- Back office

Contracts with investment bank/brokers

Secondary Market Operations

- Players in secondary market
- Stages in secondary market trading
- Transactions/order type
 - Market order
 - Limit order
 - GFD / GTC order
 - Fill or kill order; Immediate or cancel order and All or none order
 - Stop order
 - Pre-market order
 - Marketable limit order
 - Directed order
 - More order types mainly applicable to USA market

National Market System and Regulations NMS

- Typical Market Order – Pre-NMS State
- National Market System (NMS)
- Regulation NMS
- Order Routing Systems
- Smart Routing
- Algorithmic trading

Trading centers

- Automated trading centers
- OTC market-makers
- Alternative trading systems
- Broker-dealers

Trade Lifecycle

Pre-trade activities

- Front / mid / back office set up
- Risk management policy and limits
- Static data management
- Counter-party limit management
- Margin and collateral requirements
- Depository/custodian set-ups
- Trading books and strategies
- Order placement and order routing

Trade cycle

- Trade Execution
- Trade Capture (Front Office)
- Trade Capture (Back Office)
- Trade Enrichment
- Trade Validation
- Trade Agreement
- Transaction Reporting
- Settlement Instructions
- Custodian
- Pre-value Date Settlement Statuses
- Trade Settlement
- Settlement Failure
- Reflecting Trade Settlement Internally

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Course contents (contd.)

Post-trade activities

- Confirmation of trade values
- Pre-trade settlement status
- Trade settlements
- Handing trade settlement failures
- Trade accounting and trade reconciliations
- Income collection and income accounting
- Corporate actions
- Securities borrowing and lending

Issues in market activities & regulations

- Market manipulation
- Types of market manipulation
- Market abuse offences
- Insider trading

Regulations and regulatory reporting

- Basel Accords
- Dodd Frank Regulation
- The CHOICE Act
- MiFID
- European Securities and Markets Authority (ESMA)
- European Commission guidance

SWIFT MT and SWIFT MX messages

- Introduction to SWIFT
- Introduction to ISO20022

Applicable accounting standards / GAAPs

- International Financial Reporting Standards (IFRS)
- IFRS Standards
- GAAP
- GAAP Compliance

Derivatives

- Introduction to derivatives
- Types of derivatives
- Market mechanism: OTC and exchange traded
- **Derivative related terminologies**
 - ISDA Master Agreement
 - Clearing & Settlement Terminologies
 - Settlement of Futures and Options